

Attorney's Docket No.: 11306-187US1

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James F. Woodhouse et al.

Art Unit : 1761

Serial No.: 10/550,037

Examiner: Unknown

Filed

: June 28, 2006

Conf. No.: 8059

Title

: PRODUCTION OF EDIBLE SUBSTRATES

# Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

# TRANSMITTAL

The following correspondence relating to this application is enclosed for filing:

- 1. Information Disclosure Statement (1 page);
- 2. Form PTO-1449 (5 pages);
- 3. Copies of Cited References (59 references); and
- A Return Postcard. 4.

Please date stamp and return the enclosed postcard. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: November 8, 2006

Michael T. Hawkins

Reg. No. 57,867

Fish & Richardson P.C. 60 South Sixth Street **Suite 3300** Minneapolis, MN 55402 (612) 335-5070 telephone (612) 288-9696 facsimile

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#### CERTIFICATE OF MAILING BY FIRST CLASS MAIL

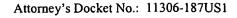
I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Lisa M. Becker

Typed or Printed Name of Person Signing Certificate





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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Michael T. Hawkins Reg. No. 57,867

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Substitute Form PTO-1449 (Modified) 1 3 2006 NOV CFR §1.98(b))

U.S. Department of Commerce Patent and Trademark Office Attomey's Docket No. 11306-187US1

Application No. 10/550,037

म्रातformation Disclosure Statement

**by Applicant** (Use several sheets if necessary)

Applicant James F. Woodhouse et al.

Filing Date

Group Art Unit

September 21, 2005

1761

				ent Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	919,736	04/27/09	Loesch			
	AB	1,605,903	11/02/26	Schuler		•	
	AC	2,123,215	07/12/38	Thomas			
	AD	2,347,022	04/18/44	Austin			
	AE	2,353,594	07/11/44	Seagren			
	AF	2,394,322	02/05/46	McKee			
	AG	2,526,811	10/24/50	Dawson			
	AH	2,578,150	12/11/51	Rathke			
	AI	2,610,588	09/16/52	Seagren et al.			
	AJ	2,895,832	07/21/59	Bersey			
	AK	3,052,552	09/04/62	Koerner et al.			
	AL	3,057,730	10/09/62	Morck			
	AM	3,192,086	06/29/65	Gyurk			
	AN	3,649,347	03/14/72	Battista			
	AO	3,658,977	04/25/72	Baker			
	AP	3,776,185	12/04/73	Kawasaki			
	AQ	3,852,494	12/03/74	Williamson			
	AR	4,024,287	05/17/77	Golchert			
	AS	4,061,783	12/06/77	Hoffman et al.			
	AT	4,076,846	02/28/78	Nakatsuka et al.			
	AU	4,285,978	08/25/81	Quinlivan			
	AV	4,388,862	06/21/83	Thomas, Jr.			
	AW	4,409,893	10/18/83	Newman et al.			
	AX	4,421,825	12/20/83	Seiter et al.			
	AY	4,466,994	08/21/84	Hubbard et al.			
	AZ	4,531,292	07/30/85	Pasternak			
	AAA	4,537,647	08/27/85	Foster			

**Examiner Signature** 

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 11306-187US1

Application No. 10/550,037

Information Disclosure Statement
by Applicant
(Use several sheets if necessary)

Applicant

James F. Woodhouse et al.

Group Art Unit

(37 CFR §1.98(b))

Filing Date September 21, 2005

1761

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ABB	4,545,818	10/08/85	Inoue et al.			
	ACC	4,548,825	10/22/85	Voss et al.			
	ADD	4,578,273	03/25/86	Krubert			
	AEE	4,592,916	06/03/86	Akesson			
	AFF	4,668,521	05/26/87	Newsteder			
	AGG	4,785,313	11/15/88	Higuma et al.			
	АНН	5,006,362	04/09/91	Hilborn			
	AII	5,017,394	05/21/91	MacPherson et al.			
	AJJ	5,435,840	07/25/95	Hilborn			
•	AKK	5,485,189	01/16/96	Ebata			
	ALL	5,505,775	04/09/96	Kitos			
	AMM	5,711,791	01/27/98	Croker et al.			
	ANN	5,795,395	08/18/98	Ben-Matitayhu et al.			
	AOO	5,800,601	09/01/98	Zou et al.			
	APP	5,834,047	11/10/98	Ahn			
	AQQ	6,045,220	04/04/00	Kiyohara et al.			
	ARR	6,058,843	05/09/00	Young			
	ASS	6,251,452	06/26/01	Weinstein et al.			
	ATT	6,319,530	11/20/01	Stewart			
	AUU	6,391,352	05/21/02	Hawkes et al.			
	AVV	6,419,979	07/16/02	Nelson et al.			
	AWW	6,485,575	11/26/02	Yuan			
	AXX	6,623,553	09/23/03	Russell et al.			
	AYY	2002/0131990	09/19/02	Barkalow			
	AZZ	2002/0142031	10/03/02	Gilleland			
	AAAA	2003/0097949	05/29/03	Candler			
	ABBB	2004/0101615	05/27/04	Barker			

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Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Application No. Attorney's Docket No. 11306-187US1

10/550,037

# Information Disclosure Statement by Applicant

(Use several sheets if necessary)

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Applicant

**Group Art Unit** 

(37 CFR §1.98(b))

September 21, 2005

James F. Woodhouse et al.

1761

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ACCC	2004/0131740	07/08/04	Woodhouse			

Examiner	Desig.	Document	Publication	ublished Foreign F Country or				lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	ADDD	WO 95/01735	01/19/95	WIPO				
	AEEE	WO 02/85995	10/31/02	WIPO				
	AFFF	WO 03/45704	06/05/03	WIPO				
	AGGG	1 413 206	04/28/04	EPO				
	АННН	57-179263	11/04/82	Japan			Abst.	
	AIII	58-052375	03/28/83	Japan			Abst.	
	AJJJ	59-118049	07/07/84	Japan			Abst.	
	AKKK	60-126057	07/05/85	Japan			Abst.	
	ALLL	62-294035	12/21/87	Japan			Abst.	
	AMMM	2-074399	03/14/90	Japan			Abst.	
	ANNN	2-097357	04/09/90	Japan			Abst.	
	A000	3-039044	02/20/91	Japan			Abst.	
	APPP	4-183360	06/30/92	Japan			Abst.	
	AQQQ	5-276893	10/26/93	Japan			Abst.	
	ARRR	6-113746	04/26/94	Japan			Abst.	
	ASSS	6-113788	04/26/94	Japan			Abst.	
,	ATTT	161,740	04/21/21	UK				
	AUUU	196,885	09/19/22	UK				
	AVVV	422,430	01/11/35	UK				
	AWWW	543,427	02/25/42	UK				
	AXXX	628,886	09/07/49	UK				
	AYYY	786,428	11/20/57	UK				
	AZZZ	1 441 446	06/30/76	UK				

Exam	iner	Signa	ture

**Date Considered** 

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Substitute Form PTO-1449  Modified)  U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 11306-187US1	Application No. 10/550,037	
	closure Statement	Applicant James F. Woodhouse et al.		
(Use several sheets if necessary) (37 CFR §1.98(b))		Filing Date September 21, 2005	Group Art Unit 1761	

	Other Doc	uments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
iiiidai	AAAAA	"Lecithin and Genetically Modified Soybeans," 1997, Lucas Meyer (UK) Ltd., 1 page
	ABBBB	"Analysis of the DNA of Genetically Modified Glyphosate Resistant ("Round-Up-Ready") Soybeans," Hydrotox GmbH, 1 page
	ACCCC	"M-C-THIN AF-1 Standardized, refined lecithin, filtrated and deodorized," Data Sheet, Lucas Meyer The Lecithin people, 2 pages
	ADDDD	"Product Specification: DM1 Dextrose Monohydrate," 2000, Fiske Food Ingredients, 2 pages
	AEEEE	"Soya Lecithin Technical Information - Nutritional Information," Lucas Meyer The Lecithin people, 1 page
	AFFFF	"The Production and Distribution of Liquid Vegetable Lecithin," Certificate of Registration to Lucas Meyer (UK) Ltd., National Quality Assurance, 1994, 1 page
	AGGGG	C* Sweet 01136, Glucose Syrup, Safety Data Sheet, Hays Chemical Ltd., 2001
	АНННН	C*Tex - Instant 12606 Modified Starch, Material Safety Data Sheet and Technical Information, 1998, Cerestar UK Ltd., 5 pages
	AIIII	Cerestar GL 01136 glucose syrup, Selling Specification, 1991, Cerestar UK Ltd., 1 page
	AJJJJ	Certificate of Analysis, Hexacol Titanium Dioxide 77561, Batch F0610, Certificate of Analysis, 1998, Pointing Limited, 1 page
	AKKKK	Certificate of Analysis, Hexacol Titanium Dioxide 77561, Batch F0767, Certificate of Analysis, 1999, Pointing Limited, 1 page
	ALLLL	Crillet 3 Veg Product Specification, 1998, Croda Food Services Ltd., 7 pages
	AMMMM	Croderol GA7000, Chemically Pure Glycerine, Material Safety Data Sheet, Croda Universal Ltd., January 20, 2003, 3 pages
	ANNNN	Food Grade Products, Initial Packaging Ltd., 1993, 2 pages
	A0000	Glycerine BP, Health and Safety Information, Thew, Arnott & Co., Ltd., 3 pages
	APPPP	Gum Acacia, Product Handling Information Sheet, Thew, Arnott & Co., Ltd., 1 page
	AQQQQ	Icing Sugar CP (Cane), Product Specification, 2001, Napier Brown, 1 page
	ARRRR	Maize Starch, Specifications, 1998, Roquette (UK) Ltd., 1 page
	ASSSS	Microcrystalline Cellulose Food Grade, Production Specification and Health and Safety Data, Thew, Arnott & Co., Ltd., 1 page
	ATTTT	Monopropylene Glycol Pharm, Health and Safety Information, 1997, Thew, Arnott & Co., Ltd., 4 pages
	AUUUU	Nakanishi, "Edible Films, Present and Future," <u>Food Packag.</u> , 1989, (Jpn) 5:73-82 (with English translation)
	AVVVV	Neosorb 70/70, Product Specification, 2001, Fiske Food Ingredients, 1 page
	AWWWW	"Pesticide Residues," Seed Crushers' and Oil Processors' Assoc., 1 page
	AXXXX	Potassium Sorbate, Material Safety Data Sheet, Information Sheet, and Sample Approval, 1998, The White Sea & Baltic Company Ltd., 4 pages

Examiner Signature	Date Considered			
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with				
next communication to applicant.				

Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11306-187US1	Application No. 10/550,037	
Information Disclos by Appli		Applicant James F. Woodhouse et al.		
(Use several sheets if necessary) (37 CFR §1.98(b))		Filing Date September 21, 2005	Group Art Unit	

	Other Doc	uments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
	AYYYY	"Products Packed in Containers Other Than Cardboard Cartons. Precautions Taken to Avoid the Inclusion of Foreign Bodies in Products," Peerless Food Products, 1992, 2 pages
	AZZZZ	Protanal® LF 10/60 Sodium Alginate, NF, Ph. Eur., JPE, Product Specification Bulletin, 2000, FMC BioPolymer, 2 pages
	AAAAA	R D Rapeseed Oil, Raw Material Specification No. SP094, 2000, Silbury Marketing Ltd., 2 pages
	ABBBBB	Sorbitol Syrup, Safety Data Sheet, 1999, E & E Ltd., 4 pages
	ACCCCC	Soyabean Lecithin, Material Safety Data Sheet, 1993, Lucas Meyer (U.K.) Ltd., 2 pages
	ADDDDD	"Sugar Particle Size Analysis," 1 996, 4 pages
	AEEEEE	"Spray Dried Gum Acacia Powder-Typical Production Specification," Thew, Arnott & Co. Ltd., 1 page
	AFFFFF	"Supplier Quality Assurance," Peerless Food Products, 1994, 2 pages
	AGGGGG	Technical Information - 2 Side Polyethylene Coated Release Papers, 1999, Cotek Papers, Ltd., 1 page
·	АННННН	Topcithin 50, Material Safety Data Sheet and G T Culpitt & Son Limited Supplier Quality Questionnaire, Lucas Meyer (UK) Ltd., 1994, 6 pages
	AIIIII	"Vegetable Based Glycerine - Typical Product Specification," Thew, Arnott & Co. Ltd., 1 page
	AJJJJJ	Xanthan Gum, Typical Production Specification, Health & Safety Data Sheet, 1992, Thew, Arnott & Co., Ltd., 9 pages
	AKKKKK	Nordson, Walcom WM 360 Series Pump Systems, 2 pages
	ALLLLL	Nordson, Chameleon Gun System, 4 pages
	AMMMMM	Solaronics IRT, IRT-Monocassette With Control Unit, 2 pages, October 2001
	ANNNNN	Trumax, Trumax Wicket Dryer and Cross-Over Jogger Sheet Collector, 1 page
	A00000	DeVilbiss, Operation Manual: GTi-A Automatic Gun, 1998

Examiner Signature	Date Considered
EVAMINED: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with

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